A DISCOTOPOLOGY PRIMER

by

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Credits:

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Introduction:

Despite the legend of the collector who acquired rare records, broke off all parts of them except the labels, and then hung the prizes by the center holes from the walls, most of us record collectors and the others who may be temporarily in our wards really do believe that the main reason for collecting recordings is to listen to them. That plain fact needs emphasis here because this article deals with what one can learn about a recording by inspection and measurement rather than by listening. The compilers wish not to perpetrate a new and perverse hobby (recordgazing) but only to suggest some of the many kinds of information that examination can provide: facts that may help collectors in playback, selection, preservation, organization, and cataloging of their recordings. Many of us have developed effective systems of inspection in our collecting jaunts in order to avoid wasted time and money, strained muscles involved in bringing home from dim attics and thrift-shops discs which are damaged, worn, or already in our collections, and excessive effort spent in sorting, organizing, and weeding quantities of records to find the best or desired items.

Rather than attempting to write an essay that would consist largely of long lists of features and directions, the compilers decided that an outline would be the clearest form of presentation. The one that forms the major part of this article originated in simpler form as the product of Yale's assignment to prepare a position paper on physical description of pre-"LP" commercial phonograph records to assist the AAA/ARSC Cataloging Project in developing rules for archival cataloging. That is one reason discs and cylinders appear in relatively full form while other media appear only as sketches or suggestions. Another reason is limited expertise; those who have sufficient knowledge and experience to do so efficiently, please take up the sketches and suggestions and fill them out. One discovery made by everyone involved in the AAA/ARSC Cataloging Project, as well as by many others interested in discology, is the lack of terminology in our field (anyone who doubts the truth of this statement needs only look at the diverse types of lists which are all called "discographies"). Some of the terms and their definitions which the project members developed appear in this article; others, which differ from project definitions or which were developed later, are also included.

Those familiar with the Cataloging Project will recall that the basis for the catalog entry was neither the unit of recording nor the physical object or objects embodying the recorded event but rather the composition or designated event (the intellectual unit). Since this article is about the physical unit and the visual clues it offers to the sound which has been captured on it, the compilers have chosen to begin with the basis of the process of recording: the unit of recorded sound, that which a recording machine captures in one normal segment of its operation (for a disc-cutter that would be a side, for a tape machine a take). In relation to an intellectual unit, the unit of recorded sound is arbitrary and may happen to be shorter than, identical in length with, or longer than the intellectual unit: the event or performed composition, which it captures.

While remembering that the primary purpose of the unit of recorded sound is the sound, let us consider the object or physical image that represents the unit. The section of the outline on physical description tries to offer collectors and archivists an outline of all features of known or possible significance that may appear on the object, with no suggestion that all are of equal significance. The outline assumes that interested examiners of recordings will be able to tell numerals from letters from other types of symbols but deals only partially with the meanings of all these types of figures; the subjects of meanings should form another important chapter or more (Steven Smolian's work on the history of labels suggests that "volume or more" may be a more accurate phrase). Also, secondary materials such as containers for discs and cylinders and program notes and illustrations receive little attention. The outline is thus only the beginning of a study of sound recordings' features, and even in its limited field of characteristics that can be inspected and measured it may not be complete (and certainly is not so for tape, wire, film soundtracks, etc.). The compilers present the material in order to provoke thought and investigation and to urge readers to respond with comments, corrections, additions, and extensions of this work that seem important in the study of recordings. Please communicate with the author of this introduction: Richard Warren Jr., at the Yale Collection of Historical Sound Recordings, Yale University Library, Box 1603A Yale Station, New Haven, CT 06520 USA.

PREFACE:

In order to help the reader to keep clearly in mind the concepts of the process from event or performance to published recording, the chart on the next three pages presents a simplified view:

ORIGINAL RECORDIN	IG to PUBLICATION simplified outline of process, terms, indicators
1. PERFORMERS	II. THE UNIT OF RECORDED SOUND, the original sound-carrier (Tonträger)
or Event	 A. DISC (commercial: in the sense of being intended for processing for publication in multiple copies) The recording is cut directly as a master.
, indicators,	Each unit of recorded sound is <u>fixed</u> at the time of recording and can only be treated or modified <u>as a</u> <u>whole</u> (buffed, dubbed, accepted, rejected, etc.). This is the normal process for "78's" and other "direct-to-disc" recordings.
and	More than one recording machine, located in one or more places, can make an image of the same unit of recorded sound; so there can be more than one ori-
RECORDING	ginal sound-carrier for a given unit of recorded sound
AGENT	eludicators; may consist of one or more of the follow- ing: Prefix - Number - Take indicator - Suffix; this kind of indicator is often called the "matrix number"; some segment of it may indicate a dubbing
, indicators,	 (e.g., "T" in electrical HW, "S/8" in acoustical Victor), and some segment may indicate which of more than one recording machine's image is used (e.g., HW and Victor: -1_ vs1A vs1B). Some agents used a new number for every unit regardless of content and performers (so that matrix and take indicators are one, for example, Brunswick); others used separate take indicators (e.g., Victor); still others sometimes left the take indicator blank for the first take and added it for subsequent takes (e.g., Odeon). Other indicators: signature(s), date, contents, performer(s), etc.; location and recordist indicators may appear separately or combined with the sequence of Prefix - Number - Take indicator - Suffix). There were usually relatively few takes per unit of material per session. INSTANTANEOUS DISC (non-commercial) This is usually unique for any one agent for any unit of material recorded; more than one machine is possible. C. CYLINDER (commercial) - parallel to disc, with more likelihood of multiple machines, especially in the days before molding.
	D. CYLINDER (non-commercial) - parallel to instantaneous disc.
	 E. TAPE (commercial)¹ Possibility exists for takes of separate (sub-)masters to be edited or mixed (separate takes for each performer or section). There can be any number of takes per unit of material per session. There can be more than one recording machine
	F. INSTANTANEOUS TAFE (non-commercial) - parallel to instantaneous disc
	G. WIRE - parallel to tape
	NOTE: Film soundtracks, commercial and non-commercial, and player-rolls have been omitted and await work by experts to produce their diagrams.

This category in particular needs checking, corrections, augmentation by experts.

1. Direct image:	indicators:			
working matrices only:	various			
	kinds			
mothers,	may			
	appear:			
stampers,				
	side			
"metal parts"	disc			
	part			
test pressing,	issue			
	set			
etc.	stamper			
0 P.111	recording			
2. Dubbing	agent			
2 - p(0) + (1 + 1) + (1 + 1) + (1 + 1)	manulacturer			
J. Different dubbing(s)	publisher			
	factor			
	nectory			
	date			
	eto			
	600.			
1. Dubbing				
Normally the end of the pr	rocess,			
 Different dubbing(s)) 				
Parallel to disc				
Parallel to disc				
1. Original tape \frown (Disc-master i	is cut (see above)			
2. Dubbing \rightarrow indicator	Tape-			
3. Different dubbing(s) to-disc transfer ²				
4. Mixing Master tape to plus other possible				
5. Editing codes,	-			
6. Equalizingetc.) or to Tape duplication	tion			
Parallel to instantaneous disc Normal end of proce	ess			

²This indicator is sometimes called the "matrix number." While the term is appropriate in manufacturing terms, in discography it seems better to avoid confusion with the process of recording by direct cutting by using another term.

IV.	SOUND-PUBLICATION	v.	PUBLICATION (secondary character-
A.	DISC		Note: Changes in these features
1	 Original issue may be direct image or dubbing may have issue indicator(s) and other types of indi- cators as listed under Duplication 		is to be heard (and most can occur in the course of a single
			pressing run) and are thus non- essential, no matter how impor-
			tant they may appear or may be to those interested in phonographic artifacts
	may have accompanying material, such as envelope or sleeve, notes, illustrations, etc.	item in	Re-pressing: different label
			Re-pressing: different logo
			Re-pressing: different imprint
		'n	Re-pressing: different stamper
2.	Reissue the same unit of recorded sound as the original issue except for the change to a different image of that unit (dubbing, different dubbing, image from alternate recording machine), including possible changes in form, such as 78-rpm to 1p, 78-rpm to tape, etc.	n Column IV can go with a	Re-pressing: different chemical composition of material
			Re-pressing: different coupling
			Different cover-edition
			Different notes-edition
c.	CYLINDER - parallel to disc	item j	Different packaging-edition
	CYLINDER TO DISC	Any	Variant issue: different indicator
E.	TAPE TO DISC ¹ - parallel to disc, but more complex because differer disc-masters can be cut from the same master tape; all are "dub- bings".	t	Variant publication: different company or different country (Victor - HWV, Columbia - Standard, DCG - Decca, etc.)

TAPE TO TAPE¹ - parallel to disc, but TAPE TO TAPE: some parallels apply¹ all issues are dubbings.

NOTE: An issue which involves a change of any unit of recorded sound or of any part of a unit of recorded sound as compared with an original issue is no longer the same recording and must be considered as a <u>Different-sound</u> <u>edition</u>, even if its appearance is identical or nearly identical to that of the original. Changes in the unit of recorded sound which is published are changes of an essential nature to the event or performance which is heard and <u>must</u> be recognized whether visible or not, whether easily detected or not.

OUTLINE OF DISCOTOPOLOGY

1.	DISC	<u>-</u>	11.	CYL	INDER
A	. <u>T</u>	DTAL OBJECT	A	. <u>T</u>	OTAL OBJECT
	1.	SIZE		1.	SIZE
	a.	Diameter (or shape and dimensions)		a	. Length
		(cm): 20 (52), 16 (40.8), 14 (35), 12 (30.5), $11\frac{1}{2}$ (29), $10\frac{1}{2}$ (27), 10		Ъ	• Outside diamete
		$(25.5), 9, (22.6), 8, (20.4), 7_2, (19), 7, (17.8), 5_2, (14), 5, (12.8), 3, (7.7), other.$	5,	с	. Inside diamete
	ъ.	Thickness (or range of thicknesses, or description with set of thicknesses	r)		i. at e (end gro
	2.	COMPOSITION			ii.at edge
	a.	Material		đ	. Thicknes
		shellac stock laminated with board fiber		2.	COMPOSITI
		plasti paper	c	a	. Wax (spe type)
		plastic (stands firm) flimer plastic		ъ	. Other (
		coated cardboard coated paper	、	c	. Filler
		coated glass wax (specify composition))		SICION
		<pre>metal (specify type) NOTE: for picture records, those witransparent surface laminated over picture &/or design: treat pictur and other material as in label B.⁴ below: treat surface as if it wer</pre>	ith r re 4.c e		
		a disc with no label other			
	3.	COLOR		3.	COLOR
	a. b. c. d.	solid mottled (random mixtures) design other (describe)			
	4.	OPACITY		4.	OPACITY
	а. Ъ.	transparent translucent			
	c. d.	opaque combination (describe)			

- T
 - er
 - er
 - edge nd) where pove begins
 - run-off e
 - 88
 - ON
 - ecify
 - specify)
 - ? (if any, Y compo-

- I. DISC
 - B. DESCRIPTION BY AREA
 - EDGE (<u>Edge</u> is defined as the narrow vertical surface at the circumference of the horizontally held disc)
 - a. Topography (description of the surface features, e.g., the shape)
 - b. Figures, if any

Suggestion for notation: begin with the most prominent number, including any letter prefix, and list all figures in order, noting which if any are handwritten and which are raised

- RIM (<u>Rim</u> is defined as the area between the edge and the playing area of a disc - see definition of "playing area" in 3 below)
 - a. Topography (description of the surface features, e.g., the shape)

straight slope curved slope concave curved slope convex flat raised ring(s) raised curved slope convex raised stepped rim lowered stepped rim other

- b. Figures, if any Suggestion for notation: begin with the most prominent number, including any letter prefix, and list all figures in order, noting which if any are handwritten and which are raised
- c. Width, as segment of disc radius
- d. Lead

d. Lead, if any (describe)

c. Length

none raised spiral ring raised concentric ring(s) spiral groove, 1 revolution or less* spiral groove, more than 1 revolution* other *or: specify number of revolutions

- II. CYLINDER
 - B. DESCRIPTION BY AREA
 - 1. EDGE (<u>Edge</u> is defined as the end with the larger inside diameter)
 - a. Topography same as for disc
 - b. Figures, if any same as for disc
 - STARTING RIM
 - a. Topography same as for disc

b. Figures, if any -

same as for disc

II. CYLINDER B. DESCRIPTION BY AREA B. DESCRIPTION BY AREA 3. PLAYING AREA (<u>Playing area</u> is defined as the area from the position of the beginning to the position of the 3. PLAYING AREA - see disc end of the recorded groove. Previous definitions, such as those related to the area within which the spacing and pitch of the groove remain relatively constant, fail to allow for the overlap of recording into the leads) a. Length a. Radius b. Spacing bands b. Spacing bands same as for disc none divider(s) - number of - location(s) feed(s) - number of - location(s) lock(s) - number of - location(s) c. Modulation pattern: c. Modulation pattern assumed vertical lateral vertical other (describe) d. Modulation source d. Modulation source: assumed acoustical acoustical (mechanical) electrical monaural microphone monaural beam of light stereophonic compatible quad (specify type) binaural electronic stereo other other e. Groove width: e. Groove width (nominal forms given; alternative is to measure and specify) 2-minute 4-minute coarse (the 78-rpm "standard". which other is really anything but standard) transcription microgroove (the current "standard") other f. Groove pitch (Groove pitch is defined f. Groove pitch: as in machinery: the distance between specify corresponding points on adjacent threads of a screw)

> constant can be measured and specified variable

1.	DISC		II.	1	CYLINI	DER		
В.	DES	CRIPTION BY AREA		в.	DESC	RIPTION BY AREA		
3. PLAYING AREA				:	3. PI	. PLAYING AREA		
	g.	Groove depth			g٠	Groove depth		
	h.	Direction of rotation			h.	Direction of rotation		
		clockwise counterclockwise						
	i.	Start			i.	Start (assumed not applicable)		
		edge center both (specify sections)						
	j.	Mastering information			j.	Mastering infor- mation		
		<pre>instantaneous original dubbing master-matrix (negative image of original master) working matrix mother stamper other pressing test advance normal (for commercial sale) special (specify) pressed dubbing (a playable artifac not pressed from a matrix of the original master - can be of any type above under <u>pressing</u>)</pre>	tt			instantaneous molded from original dubbing		
	k.	Speed			k.	Speed		
		nominal actual				nominal actual		
	4. (ENTER (<u>Center</u> is defined as that part the surface of a disc circumscribed h the inmost part of the playing area. NOTE: Records with no discrete label should be considered to have only a center, i.e., to have NO inside marging	of by Ls in)		4. R	UN-OFF RIM a. Length b. Topography		

II. CYLINDER

B. DESCRIPTION BY AREA

- 4. CENTER
 - a. If there is a paper, printed, embossed, or etched label, proceed to b. & c. If there is no discrete label, examine:
 - Width, as segment of disc radius
 Topography (features can be measured) flat raised ring(s)
 - ridge(s) sunk area flush raised area replacement, if any - diameter other
 - iii. Lead
 - none raised spiral ring raised concentric ring(s) concentric groove(s) (lock-groove) spiral groove, 1 revolution or less* spiral groove, more than 1 revolution* *or: specify number of revolutions single eccentric double eccentric raised ridge(s) other
 - iv. Autograph(s), if any specify if
 raised
 - v. Figures, if any
 - Suggestions for notation:
 - Establish a right-side-up based on a <u>majority</u> of the figures; impose an imaginary clock-face on the disc, and report figures clockwise beginning at 12:00. Indicate any figures that appear not to be upright, any that are handwritten, and any that are raised.
 - If 1. is impossible because no orientation is possible, establish an arbitrary 12:00 and read clockwise. Indicate any figures that appear not to be upright, any that are handwritten, and any that are raised.
 - 3. If 1. is impossible because all figures have their tops, or their bottoms, toward the playing area, start in a logical place and read left-to-right. Indicate any figures that are handwritten and any that are raised.

- B. DESCRIPTION BY AREA
 - RUN-OFF RIM
 - c. Lead, if any specify
 - d. Autograph(s), if any; specify if raised
 - e. Figures, if any: Suggestion for notation: Begin with the most prominent number, including any letter prefix, and note all figures in order. Indicate any figures which are handwritten and any which are raised.

B. DESCRIPTION BY AREA

- CENTER
 - b. INSIDE MARGIN (<u>Inside margin</u> is defined as the space between the playing area and the circumference of the label. Discs with no discrete labels should be considered as having no inside margins but as having centers only)
 - i. Width, as segment of disc radius ii. Topography (features can be measured) flat raised ring(s) ridge(s) sunk area flush raised area other
 - iii. Figures, if any (treat figures that begin in the inside margin and proceed under the label as if they are in the label area) See suggestions for notation in a. above.
 - iv. Autography(s), if any specify if
 raised
 - v. Lead none raised spiral ring raised concentric ring(s) concentric groove(s) (lock-groove) spiral groove, 1 revolution or less* spiral groove, more than 1 revolution* *or: specify number of revolutions single eccentric double eccentric raised ridge(s) other
 - c. IABEL (first try to examine the lowest label, i.e., that closest to the surface of the disc or on it; if that is not readable, attempt to determine those features that are visible and then proceed to deal with the superimposed label or labels; if the lowest label is partially exposed, provide as much information as possible)

- II. CYLINDER
 - B. DESCRIPTION BY AREA
 - RUN-OFF EDGE (defined as the edge at the end of a cylinder that has the smaller inside diameter)
 - a. Shape
 - b. Topography
 - c. Figures, if any (use suggestions listed under <u>Run-off rim</u>)
 - 6. LABEL (use appropriate categories from <u>Disc</u> 4c to describe the slips used as inserted labels on cylinders; otherwise the information will either have been gathered as figures from the various areas or will be found in recorded announcements)

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B. DESCRIPTION BY AREA
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4. CENTER
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```
c. LABEL
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i. Topography (features can be measured)
          flat
          ring(s)
          sunk
          flush
          raised
          raised area
          replacement, if any (diameter)
  ii. Nature
          etched (i.e., figures sunk)
          embossed (i.e., figures raised)
printed (directly on surface)
          laminated
          paper
          combination (specify)
          other
 iii. Style of paper label
          plain
          printed
          handwritten
          handstamped (rubber-stamped)
combination (specify)
          other
  iv. Color(s)
          none
          pigment (rubbed into etched figures)
          paper or background
          print or writing
          design(s) or decoration(s)
          other(s) - specify
   v. Shape & size
          circle - diameter
          other - define and measure
  vi. Logo
 vii. Pattern or design
viii. Type-face(s) or type(s) of script
          numerals of largest size
          principal title
          principal performer name(s)
          other styles used, with their functions

    ix. Stickers - shape, size, color, etc.
    x. Pictures - shape, size, content, etc.
    xi. Autograph(s)

 xii. Figures under label, if any
          Suggestion for notation: read left-to-right,
          top-to-bottom, applying suggestions under
          a.v. above
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B. DESCRIPTION BY AREA
  4. CENTER
    e. LABEL
  xiii. Superimposed labels (use appropriate
           categories above)
           Partial
           Total
   d. CENTER HOLE
         Absent
         Present
           If circular: diameter
           If not circular: shape & dimensions
           Special features (e.g., brass ring)
              - describe
           Additional holes
             location(s)
             shape(s)
             dimensions
             special features
  5. UNRECORDED BACK
       Suggestion: treat as one large circle and apply
       appropriate portions of categories for recorded
        sides. Note: the categories listed below are
       NOT exclusive
    a. Smooth
    b. Embossed
    c. Etched
    d. Grooved (describe)
    e. Topography (describe)
    f. Label (describe)
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- g. Design (describe)
- h. Logo (describe)
- i. Figures (use suggestions for notation under 4.a above)

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C. CONDITION

II. CYLINDER

C. CONDITION

Proceed by area in order of Description

- 1. Edge
- Rim
 Playing area
 Center
- 5. Inside margin, if any
- 6. Label, if any
- 7. Center hole, if any
- 1. A list of possible categories to consider:

a. Crack hair separated lamination star b. Crater chip flake c. Bite d. Scratch (length, width, depth, etc.) needle run e. Scrape (dimensions) f. Pressing fault blister pit bump grind heat fault ridge etc. g. Wear location extent degree h. Scuff (dimensions, severity) i. Mold j. Mildew k. Dirt (from the disc's point of view) lipids from skin dust cobwebs foodstuff - analysis shoe polish wax etc. 1. Warp extent maximum distance from plane m. Water-damage location(s) extent(s)

- Proceed by area in order of Description 1. Edge

 - Euge
 Starting rim
 Playing area
 Run-off rim
 Run-off edge
- See outline for Disc

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C. CONDITION
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Permanent (as related to playback, with the
assumption that loose dirt can be removed)
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a. Intact
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b. Mutilated
separated crack(s)
severe groove damage (bite or bites,
crater or craters, fungus or other
damaging material deposited in groove -
"The Creature from the Depths of the
Surface")
extreme wear
severe pressing fault(s)
warp
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D. EXAMPLES OF MEANINGS OF FIGURES

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1. Matrix indicator
 2. Take indicator
 3. Side indicator
 4. Disc indicator
 5. Part indicator (multi-side items)
 6. Set indicator
 7. Other issue indicator
 8. Modulation pattern
9. Modulation source
10. Groove indicator
11. Start indicator
12. Speed indicator

    Label-name indicator
    Manufacturer indicator
    Imprint indicator

16. Selling agent
17. Recording agent

    18. Item indicator
    19. Title(s)

20. Author/composer/other participants in
        responsibility
21. Performer(s)
22. Place of recording
23. Date of event
                recording
                issue

    Instrument indicator(s)
    Location of recording

                      publisher
                      issue
                      etc.
26. Price indicator
27. Status indicator (celebrity level, etc.)
etc.
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III. WIRE (preliminary outline, extended from disc and cylinder)
     A. The wire itself (visible)
        1. diameter

    composition
    length

        4. condition

B. Container (has no necessary relation to any particular piece of wire)
1. Primary (reel type, diameter, center, etc.)
2. Secondary (bag, box, carton, etc.)

     C. Playback information (invisible)

    Speed
    Equalization

        etc. (condition and such)
IV. TAPE (preliminary outline)
    A. The tape itself (visible)
       1. Width
       2. Thickness
3. Length
4. Composition
         a. base (material & color)
         b. Oxide (material, color, degree of shininess)

c. Backing (material, color, degree of shininess)
d. Leader (material, color, degree of shininess)
e. Sensor (material, color, etc.)
f. Splices (type, material of base, material of adhesive, color,

                  degree of shininess)
       5. Attached label
          a. Composition
          b. Color
          c. Content
       6. Condition
    B. Playback information (invisible)
       1. Number of tracks

    Width of each track
    Number of channels
    Direction(s) of recorded signal(s)
    Modulation source(s)
    Providentia (a)

       6. Equalization(s)

    Speed(s)
    Alignment of track(s)
    Condition

       etc.
    C. Container (has no necessary relation to any particular piece of tape)
       1. Primary
          a. Hub (type, type of center hole, size, composition, color, etc.)
b. Reel (same kinds of characteristics as for hub)
       2. Secondary (bag, box, carton; dimensions, composition, color, etc.)
     etc.
     CARTRIDCE (and/or CASSETTE), as a combination of tape and container,
ν.
        might be treated separately or as separate subsection(s) of TAPE
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VI. FILM SOUNDTRACK
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